



JUL 26 2004

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: July 21, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: *Tadashi Horie*

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3621  
Tadashi Horie  
**BRINKS  
HOFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Takayuki NAKAJIMA

Appln. No.: 10/031,291

Filed: January 14, 2002

For: AUTHENTICATION SYSTEM AND  
RELATED METHOD

Attorney Docket No: 9683/100

Examiner: To Be Assigned

Art Unit: 2131

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

Supplemental Information Disclosure Statement (in dup.), Copy of Japanese Office Action, Form PTO-1449, 5 Cited References

Return Receipt Postcard

**Fee calculation:**

No additional fee is required.

Small Entity.

An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).

A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).

An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		or	Not a Small Entity	
					Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

**Fee payment:**

A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.

Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.

Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).

The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

*Tadashi Horie*  
Tadashi Horie (Reg. No. 40,437)

July 21, 2004  
Date



### CERTIFICATE OF MAILING

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July 21, 2004

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or  
Registered Representative

Signature

July 21, 2004

Date of Signature

Our Case No.: 9683/100

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Takayuki NAKAJIMA

Examiner: To Be Assigned

Serial No.: 10/031,291

Group Art Unit No.: 2131

Filing Date: January 14, 2002

For: AUTHENTICATION SYSTEM AND  
RELATED METHOD

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form PTO-1449 be considered by the Examiner and

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

Prior art references B1-B4 were cited in an Office Action issued in a counterpart Japanese application. A copy of the Office Action is also enclosed with this Statement. Prior art references B3 and B4 are in Japanese. For prior art reference B3, Applicants are submitting a concise explanation of relevant part thereof. For prior art reference B4, Applicants are submitting prior art reference B5, which is a counterpart EPO application thereof.

In accordance with 37 C.F.R. § 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

*Tadashi Horie*

Tadashi Horie  
Registration No. 40,437  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



FORM PTO-1449 MAY 2001 (use several sheets if necessary)	SERIAL NO. 10/031,291	CASE NO. 9683/100
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE January 14, 2002	GROUP ART UNIT 2131
	APPLICANT(S): Takayuki NAKAJIMA	

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
B					
B					
B					
B					
B					
B					
B					

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
B1	WO 98/47116	10/22/1998	WIPO		
B2	WO 97/45814	12/04/1997	WIPO		
B3	JP 10-198636	07/31/1998	Japan		Concise Explanation
B4	JP 08-096043	04/12/1996	Japan		Yes
B5	EP 0 708 547 A2	04/24/1996	EPO		
B					
B					
B					

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)
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EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.